

Between Interpretation and Computation

Hierarchical Grammar Induction as Explication
of Latent Sequence Structures in Sales
Conversations

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Abstract

Qualitative social research currently faces a methodological dilemma: On one hand, generative AI systems promise an unprecedented scaling of interpretive work steps; on the other hand, due to their stochastic nature, they evade the classical validation logic of qualitative research. This paper argues that this dilemma can be resolved by returning to formalizing approaches that were already present in the tradition of text analysis. As a concrete solution, the paper develops the **Algorithmic Recursive Sequence Analysis (ARS) in its version 3.0**, a procedure that transforms interpretive processes into a hierarchical grammar, thus explicating not only sequential transitions but also complex interaction patterns as interpretive categories. The connection to the current discussion on **Explainable AI (XAI)** proves to be doubly fruitful: it provides a conceptual framework to reflect on the quality of qualitative interpretations and reminds us that explainability is not a luxury but a necessity – in technology as well as in science. The empirical application to eight transcripts of sales conversations demonstrates the capability of the procedure to form interpretive categories through hierarchical compression.

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1 Introduction: The Paradox of Qualitative Research in the Age of Generative AI

Qualitative social research currently faces a methodological dilemma. On one hand, generative AI systems promise an unprecedented scaling of interpretive work steps. On the other hand, due to their stochastic nature, these very systems evade the classical validation logic of qualitative research. While the latter traditionally relies on the detailed disclosure of the coding process and intersubjective traceability, we now witness a blind reliance on the supposed “emergence” of neural networks.

This trend is problematic because it decouples computer-assisted text analysis from its methodological foundations. At the same time, however, it points to a deficit that concerns qualitative research itself: it lacks a formalized vocabulary to make its interpretive processes accessible to algorithmic procedures. The consequence is a choice between two unsatisfactory options: either renouncing scaling or abandoning methodological control.

This paper argues that this dilemma can be resolved by returning to formalizing approaches that were already present in the tradition of text analysis. As a concrete solution, the paper develops the **Algorithmic Recursive Sequence Analysis (ARS) in its version 3.0**, a procedure that transforms interpretive processes not only into a sequential transition grammar but into a hierarchical grammar with explicit nonterminals. These nonterminals are understood as **interpretive categories** induced by recurring sequence patterns – analogous to the formation of new variables in term rewriting until only one symbol remains.

The point of this approach lies in its connection to current discussions on **Explainable Artificial Intelligence (XAI)**. XAI has emerged as a response to the opacity of neural networks (Samek & Müller, 2019; Barredo Arrieta et al., 2020). The central insight is: Those who cannot comprehend the decisions of complex AI systems cannot trust them – and must not use them in safety-critical areas (Weller, 2019). This insight, so the thesis of this paper, can be productively applied to qualitative research: it also needs procedures that make its interpretive processes explainable. ARS 3.0 understands itself as such a procedure – as a contribution to an **explainable qualitative research** that preserves the methodological standards of the discipline while opening up to algorithmic modeling.

The paper is structured as follows: Section 2 introduces the concept of Explainable AI and develops the analogy to qualitative research. Section 3 presents ARS 3.0

in its methodological architecture, with special focus on hierarchical grammar induction. Section 4 documents the empirical application to eight transcripts of sales conversations. Section 5 reflects on the results in light of the XAI criteria. Section 6 draws a conclusion and outlines perspectives.

2 Explainable AI: Concept, Development, and Methodological Relevance

2.1 Origin and Basic Ideas of XAI

The development of Explainable Artificial Intelligence (XAI) is closely linked to the insight that the increasing performance of complex AI models comes with a loss of transparency. In particular, deep neural networks, which achieve impressive results in numerous application domains, operate as “black boxes”: their internal decision processes are neither immediately comprehensible to developers nor to users (Samek & Müller, 2019, p. 2).

This opacity becomes problematic when AI systems are used in safety-critical areas – in medical diagnostics, jurisprudence, finance, or autonomous control (Ortigossa et al., 2024, p. 80800). Wrong decisions can have serious consequences here. At the same time, the impenetrability of models makes it difficult to identify bias and discrimination. A frequently cited case is the COMPAS system for recidivism prediction, which systematically disadvantaged African-American defendants without this distortion being recognizable from the model architecture (Barredo Arrieta et al., 2020, p. 84).

XAI research responds to this problem by developing methods to subsequently explain the decisions of complex models or to design interpretable models from the outset (Mersha et al., 2024). The term “Explainable AI” itself originates from an initiative of the US research agency DARPA, which from 2015 onwards specifically funded projects on the explainability of AI systems (Barredo Arrieta et al., 2020, p. 86). Since then, XAI has developed into an independent field of research addressing both technical and ethical as well as legal questions.

An important legal driving force of the XAI discussion was the European General Data Protection Regulation. In particular, Recital 71 is often interpreted in research as the basis of a “right to explanation”, even if the regulation does not formulate an explicit, enforceable right to full algorithmic disclosure (Wachter et al., 2017). Nevertheless,

the GDPR establishes binding requirements for transparency, traceability, and information obligations in automated decisions, thus reinforcing the normative pressure to develop explainable AI systems.

2.2 Central Concepts and Taxonomies

The XAI literature has developed a range of concepts and distinctions to structure the field. **Explainability** generally denotes the property of an AI system to be able to present its decisions in a way that is understandable to humans (Barredo Arrieta et al., 2020, p. 89). **Interpretability** aims at a human observer being able to comprehend the functioning of the system (Weller, 2019, p. 25). **Transparency** means the disclosure of systemic processes and design decisions (Weller, 2019, p. 27).

A fundamental taxonomic distinction concerns the timing of explainability: **Ad-hoc methods** (also “Explanation by Design”) integrate explainability into the model architecture from the beginning. They design models that are inherently interpretable due to their structure – such as decision trees or rule-based systems. **Post-hoc methods**, on the other hand, apply explanation techniques to already trained black-box models. They attempt to subsequently reconstruct which input factors were decisive for a particular decision (Barredo Arrieta et al., 2020, p. 92).

A second distinction concerns the scope of explanation: **Global explanations** target the overall behavior of the model – they answer the question of how the model fundamentally functions. **Local explanations** refer to individual decisions – they explain why a particular input led to a particular output (Ortigossa et al., 2024, p. 80805).

A third distinction concerns methodology: **Model-specific methods** are only applicable to certain model architectures (e.g., neural networks). **Model-agnostic methods** can be used independently of the concrete model architecture (Merzha et al., 2024, p. 3).

Among the best-known XAI methods are:

- **LIME (Local Interpretable Model-agnostic Explanations)**: A model-agnostic method that locally learns simple, interpretable surrogate models to explain the decisions of complex black-box models (Barredo Arrieta et al., 2020, p. 102).
- **SHAP (SHapley Additive exPlanations)**: A method based on cooperative game theory that quantifies the contribution of each input feature to a prediction

(Barredo Arrieta et al., 2020, p. 104).

- **Saliency Maps:** Visualizations that show for image classifiers which image regions were particularly relevant for a decision (Zhou et al., 2019).
- **Layer-wise Relevance Propagation (LRP):** A method that propagates the prediction of a neural network backwards through the network layer by layer, thus identifying relevant input regions (Montavon et al., 2019).

2.3 XAI as a Methodological Challenge

The XAI discussion is not limited to technical methods. It touches upon fundamental methodological questions: What does it mean to “explain” a decision? Who is the addressee of the explanation? What quality criteria apply to explanations?

The NIST (National Institute of Standards and Technology) has formulated three fundamental properties of good explanations (Ortigossa et al., 2024, p. 80810):

1. **Meaningfulness:** Explanations must be understandable to the intended addressee. This requires adaptation to their prior knowledge and cognitive abilities.
2. **Accuracy:** Explanations must correctly represent the actual decision processes of the model. There is a potential goal conflict with meaningfulness: an accurate but highly complex explanation may be incomprehensible; a comprehensible but inaccurate explanation may be misleading.
3. **Knowledge Limits:** Good explanations make clear under which conditions the model works reliably and where its limits lie.

These criteria are not only relevant for technical systems. They can, so the thesis of this paper, be transferred to qualitative research. Qualitative interpretations also need to be understandable (for the scientific community), accurate (in the sense of fidelity to the text), and to state their limits (e.g., regarding the scope of interpretation). The XAI discussion thus provides a conceptual framework to reflect on the quality of qualitative interpretations – and to develop procedures that ensure this quality.

2.4 From XAI to Explainable Qualitative Research: An Analogy

The transfer of the XAI perspective to qualitative research is based on an analogy systematized in Table 1:

Table 1: Analogy between Technical XAI and Qualitative Research

| Dimension | Technical XAI | Qualitative Research |
|-------------|--|--------------------------------------|
| Problem | Opake decisions of neural networks | Opake interpretation processes |
| Cause | Subsymbolic representations | Implicit rule knowledge |
| Consequence | Lack of trust, undiscovered bias | Lack of intersubjectivity |
| Solution | Explication of decision bases | Explication of interpretation rules |
| Methods | LIME, SHAP, Saliency Maps | ARS 3.0, explicit category formation |
| Criteria | Meaningfulness, Accuracy, Knowledge Limits | Traceability, Text fidelity, Scope |

The point of this analogy lies in the reversal of perspective: While XAI asks how one can explain the decisions of *technical* systems, explainable qualitative research asks how one can make the interpretation processes of *human* researchers explainable. In both cases, it is about the transformation of implicit, opake operations into explicit, traceable rules.

The Algorithmic Recursive Sequence Analysis in its version 3.0, presented in the following, understands itself as a procedure that accomplishes this transformation. It formalizes interpretation processes without automating them. It produces explicit, verifiable models with hierarchical categories without eliminating hermeneutic openness. And it thus creates the prerequisites for a qualitatively meaningful but methodologically controlled use of algorithmic procedures in qualitative research.

3 Algorithmic Recursive Sequence Analysis 3.0: Methodological Architecture

3.1 Basic Operations: From Transcription to Terminal Symbol Strings

ARS operates on transcripts of natural interactions. The first step consists of a sequential analytical fine analysis following the logic of qualitative interpretation. Qualitative sequence analysis, as developed in objective hermeneutics (Oevermann et al., 1979) and conversation analysis (Sacks et al., 1974), aims to reveal the latent meaning structure of interactions through the systematic reconstruction of their sequential order. Each speech act is analyzed with regard to its sequential function and its intentional quality.

The analysis follows the principle of **production and falsification of readings** (Oevermann et al., 1979, p. 392): For each sequence step, alternative interpretation possibilities are generated and systematically tested against the further course. This procedure of “controlled interpretation” (Flick, 2019, p. 158) ensures intersubjective traceability and forces the explication of interpretation rules.

The result of this interpretive work is a **terminal symbol string**, in which each speech act is represented by a symbol from a previously developed category system. These terminal symbols function as a formalized equivalent of qualitative codings (Przyborski & Wohlrab-Sahr, 2021, p. 207). The following table illustrates this using the example of a transcript:

Table 2: Example of Terminal Symbol Assignment

| Transcript Excerpt | Terminal Symbol | Interpretation |
|--|------------------------|---|
| Customer: Good day | KBG | Customer greeting (initiation of interaction) |
| Salesperson: Good day | VBG | Salesperson greeting (reciprocal confirmation) |
| Customer: One of the coarse liver sausage, please. | KBBd | Customer need (articulation of purchase desire) |

3.2 Hierarchical Grammar Induction through Sequence Compression

ARS 3.0 goes beyond the pure transition modeling of the previous version and implements a **hierarchical grammar induction**. The procedure follows a central methodological premise: The induced grammar is an **explication**, not a discovery. The nonterminals represent **interpretive categories**, not hidden structures. The process is designed to be transparent and intersubjectively traceable.

The induction proceeds iteratively according to the principle of sequence compression:

1. **Identification of relevant patterns:** The procedure searches for repeated sequences in the terminal symbol strings. Not only frequencies but also semantic relevance criteria are considered: speaker changes (customer-salesperson dialogues) are weighted more heavily, as are patterns with closure character.
2. **Formation of interpretive categories:** For each identified pattern, a new nonterminal is generated. The naming is interpretively meaningful, e.g., `NT_NEED_CLARIFICATION_KBBd_VBBd` for the sequence “Customer need → Salesperson inquiry”. This naming explicates the qualitative meaning of the sequence.
3. **Compression:** All occurrences of the pattern in the strings are replaced by the new nonterminal.
4. **Recursion:** The process is continued on the compressed strings until no further relevant patterns are found or all strings are compressed to a single symbol – the start symbol of the induced grammar.

This procedure is analogous to the formation of new variables in term rewriting: repeated expressions are replaced by new symbols until only one variable remains. The transformation matrix of these compressions documents the hierarchy of interpretive categories.

3.3 Methodological Reflection Layer

A central innovation of ARS 3.0 is the explicit **methodological reflection layer**. Every interpretation decision – every recognized pattern, every formation of a new nonterminal – is documented. The `MethodologicalReflection` class records:

- The recognized sequence

- The newly formed nonterminal
- The rationale for the decision
- The qualitative meaning of the sequence (by drawing on the interpretation of the terminal symbols)
- The type of interaction sequence (need clarification, information exchange, transaction completion, etc.)

This documentation enables the intersubjective traceability of the induction process and thus fulfills the XAI criterion of meaningfulness.

3.4 Probability Calculation and Generative Use

After completion of the induction, the probabilities of the different expansions are calculated for each nonterminal. This is done by counting the occurrences in the original data:

```

1 def _count_occurrences(self, sequence, occurrence_count):
2     i = 0
3     while i < len(sequence):
4         symbol = sequence[i]
5         if symbol in self.rules:
6             for expansion, _ in self.rules[symbol]:
7                 if isinstance(expansion, list):
8                     exp_len = len(expansion)
9                     if i + exp_len <= len(sequence) and
10                        sequence[i:i+exp_len] == expansion:
11                         occurrence_count[symbol][tuple(
12                             expansion)] += 1
13                         self._count_occurrences(expansion,
14                                                    occurrence_count)
15                         i += exp_len
16                         break
17         else:
18             i += 1

```

Listing 1: Counting Occurrences for Probabilities

The resulting probabilistic context-free grammar (PCFG) can be used to generate new strings. The `InterpretiveGenerator` documents not only the generated string

but also its interpretive meaning step by step.

3.5 XAI Validation

ARS 3.0 implements an explicit validation based on the three NIST-XAI criteria:

1. **Meaningfulness:** Measured by the proportion of interpretably named nonterminals and the completeness of documentation.
2. **Accuracy:** Measured by the correlation between the frequencies of terminal symbols in the empirical data and in a large sample of generated strings.
3. **Knowledge Limits:** Explicit documentation of the data basis, the dependence on initial interpretive decisions, and the lack of generalizability beyond the dataset.

4 Empirical Application: Eight Transcripts of Sales Conversations

4.1 Hypothetical Initial Grammar

From the specialized literature on sales conversations, the following hypothetical grammar was derived: A sales conversation (SC) consists of greeting (GR), sales part (SP), and farewell (FW). The terminal symbols comprise KBG, VBG, KBBd, VBBd, KBA, VBA, KAE, VAE, KAA, VAA, KAV, VAV.

4.2 The Eight Transcripts

The complete transcripts can be found in Appendix A. They document interactions at various sales stalls at Aachen market square in June/July 1994.

4.3 Python Implementation

The complete Python program for hierarchical grammar induction can be found in Appendix B. It implements the steps described in Section 3 and documents the induction process with methodological reflection.

4.4 Results of Hierarchical Induction

The induced grammar has the following structure:

Table 3: Induced Nonterminals and Productions (Excerpt)

| Nonterminal | Productions with Probabilities |
|---------------------------------|---|
| NT_NEED_CLARIFICATION_KBBd_VBBd | KBBd \rightarrow VBBd [1.000] |
| NT_PAYMENT_PROCESS_VAA_KAA | VAA \rightarrow KAA [1.000] |
| NT_FAREWELL_VAV_KAV | VAV \rightarrow KAV [1.000] |
| NT_GREETING_KBG_VBG | KBG \rightarrow VBG [1.000] |
| NT_SEQUENCE_KBBd_VBA | KBBd \rightarrow VBA [1.000] |
| NT_INFORMATION_EXCHANGE_VAE_KAA | VAE \rightarrow KAA [1.000] |
| NT_NEED_CLARIFICATION_2 | NT_NEED_CLARIFICATION_KBBd_VBBd |
| NT_SEQUENCE_2 | NT_NEED_CLARIFICATION_2 \rightarrow VBA [1.000] |
| NT_PAYMENT_PROCESS_2 | NT_NEED_CLARIFICATION_2 \rightarrow NT_PA |

The complete induced grammar comprises 13 nonterminals representing different hierarchy levels of the interaction structure. Noticeably, many productions initially appear with probability 1.0 – this is because with the given data basis, only one expansion possibility was observed for each nonterminal. With a larger data basis, more differentiated probability distributions would emerge here.

The validation based on the XAI criteria yields:

- **Meaningfulness:** 100% of the nonterminals are interpretably named (all begin with NT_ and contain a type designation). The methodological reflection documents 13 interpretation decisions.
- **Accuracy:** The correlation between empirical and generated frequencies is $r > 0.95$ ($p < 0.001$), confirming the structural reproducibility of the data by the induced grammar.
- **Knowledge Limits:** The grammar is based on 8 transcripts and makes no claim to generalizability. It depends on the initial category formation and explicitly documents this dependence.

5 Discussion: ARS 3.0 as a Contribution to Explainable Qualitative Research

5.1 ARS 3.0 and the XAI Criteria

ARS 3.0 fulfills the three NIST criteria for good explanations in a form adapted for qualitative research:

Meaningfulness is ensured through explicit category formation and methodological reflection. The terminal symbols are semantically meaningful, the nonterminals are named interpretively. A third researcher can trace not only the result but the entire induction process. This corresponds to the principle of “communicative validation” central to qualitative research (Flick, 2019, p. 328).

Accuracy is operationalized here in the sense of structural fit. The high agreement between empirical and generated frequencies shows that the grammar precisely reproduces the observed distribution structure of the data. In the terminology of qualitative research, one could speak of “adequacy to the subject matter” (Przyborski & Wohlrab-Sahr, 2021, p. 34).

Knowledge Limits are marked by the documentation of each interpretation decision. The grammar does not claim to capture the “actual” structure of the interaction but reconstructs observable regularities on the basis of interpretive decisions. It thus makes its own contingency visible – a methodological virtue discussed in qualitative research under the heading of “reflexivity” (Flick, 2019, p. 129).

5.2 Ad-hoc vs. Post-hoc: ARS as Explanation by Design

In XAI terminology, ARS 3.0 is to be classified as an **ad-hoc method** (Explanation by Design). It does not design the grammar as a subsequent explanation of an already existing model but integrates explainability into the modeling process from the beginning. The terminal symbols are not black boxes but explicate the interpretive decisions. The nonterminals are not interpreted post-hoc but are formed from the outset as interpretive categories.

This fundamentally distinguishes ARS from post-hoc methods that attempt to subsequently explain the decisions of neural networks. While these methods can only ever provide approximate insights into a fundamentally opaque architecture, ARS is designed to be transparent from the ground up.

5.3 The Transformation Matrix as a Methodological Instrument

The hierarchical compression implemented here can be understood as a **transformation matrix** that leads step by step from the level of terminal symbols to the level of abstract interpretive categories. Each iteration of the induction corresponds to a transformation:

$$\text{String}_n = T_n(\text{String}_{n-1})$$

where T_n represents the replacement of a specific pattern by a new nonterminal. The composition of all transformations yields the complete derivation hierarchy:

$$\text{Start symbol} = T_k \circ T_{k-1} \circ \dots \circ T_1(\text{Terminal string})$$

This matrix perspective makes the hierarchy of interpretive categories explicit and traceable – a central concern of explainable qualitative research.

5.4 Limits of the Analogy and Methodological Implications

The analogy between XAI and qualitative research has limits that must be reflected upon. XAI primarily aims at explaining *technical* systems, while qualitative research is about the explication of *human* interpretation processes. The causality is different: In XAI, we explain why an algorithm made a particular decision; in ARS, we explain how researchers arrived at a particular interpretation.

Despite these limits, the XAI perspective opens up productive questions for qualitative research: How can we explicate our interpretation processes so that they become comprehensible to others? What formats of explication are suitable? How can we not only claim but demonstrate the quality of our interpretations?

ARS 3.0 provides a concrete answer to these questions. It formalizes interpretation processes without automating them. It makes interpretive decisions explicit without eliminating hermeneutic openness. It thus creates the prerequisites for a methodologically reflected use of algorithmic procedures in qualitative research.

6 Conclusion and Outlook

Qualitative social research faces the challenge of utilizing the possibilities of algorithmic text analysis without abandoning its methodological standards. The Algorithmic Recursive Sequence Analysis in its version 3.0 offers a way to productively address this challenge. It formalizes interpretation processes through hierarchical compression into explicit interpretive categories. It produces verifiable models with documented decisions without eliminating hermeneutic openness.

The connection to the XAI discussion proves to be doubly fruitful: it provides a

conceptual framework to reflect on the quality of qualitative interpretations. And it reminds us that explainability is not a luxury but a necessity – in technology as well as in science.

Further research could develop ARS in several directions: through the integration of further formal modeling methods (Petri nets, Bayesian networks), through more systematic connection with computational linguistics methods, or through application to other types of interaction. What remains crucial throughout is methodological control: the formal procedures must respect the interpretive character of the analysis and must not lead to its automation.

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A The Eight Transcripts with Terminal Symbols

A.1 Transcript 1 - Butcher Shop

Date: June 28, 1994, **Location:** Butcher Shop, Aachen, 11:00 AM

Table 4: Transcript 1 - Terminal Symbols

| Transcript Excerpt | Terminal Symbol |
|---|------------------------|
| Customer: Good day | KBG |
| Salesperson: Good day | VBG |
| Customer: One of the coarse liver sausage, please. | KBBd |
| Salesperson: How much would you like? | VBBd |
| Customer: Two hundred grams. | KBA |
| Salesperson: Anything else? | VBA |
| Customer: Yes, then also a piece of the Black Forest ham. | KBBd |
| Salesperson: How large should the piece be? | VBBd |
| Customer: Around three hundred grams. | KBA |
| Salesperson: That will be eight marks twenty. | VAA |
| Customer: Here you go. | KAA |
| Salesperson: Thank you and have a nice day! | VAV |
| Customer: Thanks, you too! | KAV |

Terminal Symbol String 1: KBG, VBG, KBBd, VBBd, KBA, VBA, KBBd, VBBd, KBA, VAA, KAA, VAV, KAV

A.2 Transcript 2 - Market Square (Cherries)

Date: June 28, 1994, **Location:** Market Square, Aachen

Table 5: Transcript 2 - Terminal Symbols

| Transcript Excerpt | Terminal Symbol |
|--|------------------------|
| Seller: Everyone can try cherries here! | VBG |
| Customer 1: Half a kilo of cherries, please. | KBBd |

Continued on next page

Table 5 – *Continued from previous page*

| Transcript Excerpt | Terminal Symbol |
|---|------------------------|
| Seller: Half a kilo? Or one kilo? | VBBd |
| Seller: Three marks, please. | VAA |
| Customer 1: Thank you very much! | KAA |
| Seller: Everyone can try cherries here! | VBG |
| Customer 2: Half a kilo, please. | KBBd |
| Seller: Three marks, please. | VAA |
| Customer 2: Thank you very much! | KAA |

Terminal Symbol String 2: VBG, KBBd, VBBd, VAA, KAA, VBG, KBBd, VAA, KAA

A.3 Transcript 3 - Fish Stall

Date: June 28, 1994, **Location:** Fish Stall, Market Square, Aachen

Table 6: Transcript 3 - Terminal Symbols

| Transcript Excerpt | Terminal Symbol |
|--|------------------------|
| Customer: One pound of saithe, please. | KBBd |
| Seller: Saithe, all right. | VBBd |
| Seller: Four marks nineteen, please. | VAA |
| Customer: Thank you very much! | KAA |

Terminal Symbol String 3: KBBd, VBBd, VAA, KAA

A.4 Transcript 4 - Vegetable Stall (Detailed)

Date: June 28, 1994, **Location:** Vegetable Stall, Aachen, Market Square, 11:00 AM

Table 7: Transcript 4 - Terminal Symbols

| Transcript Excerpt | Terminal Symbol |
|---|------------------------|
| Customer: Listen, I'll take some mushrooms with me. | KBBd |

Continued on next page

Table 7 – *Continued from previous page*

| Transcript Excerpt | Terminal Symbol |
|--|------------------------|
| Seller: Brown or white? | VBBd |
| Customer: Let’s take the white ones. | KBA |
| Seller: They’re both fresh, don’t worry. | VBA |
| Customer: What about chanterelles? | KBBd |
| Seller: Ah, they’re great! | VBA |
| Customer: Can I put them in rice salad? | KAE |
| Seller: Better to briefly sauté them in a pan. | VAE |
| Customer: Okay, I’ll do that. | KAA |
| Seller: Have a nice day! | VAV |
| Customer: Likewise! | KAV |

Terminal Symbol String 4: KBBd, VBBd, KBA, VBA, KBBd, VBA, KAE, VAE, KAA, VAV, KAV

A.5 Transcript 5 - Vegetable Stall (with KAV at Beginning)

Date: June 26, 1994, **Location:** Vegetable Stall, Aachen, Market Square, 11:00 AM

Table 8: Transcript 5 - Terminal Symbols

| Transcript Excerpt | Terminal Symbol |
|--|------------------------|
| Customer 1: Goodbye! | KAV |
| Customer 2: I would like a kilo of the Granny Smith apples here. | KBBd |
| Seller: Anything else? | VBBd |
| Customer 2: Yes, another kilo of onions. | KBBd |
| Seller: Six marks twenty-five, please. | VAA |
| Customer 2: Goodbye! | KAV |

Terminal Symbol String 5: KAV, KBBd, VBBd, KBBd, VAA, KAV

A.6 Transcript 6 - Cheese Stand

Date: June 28, 1994, **Location:** Cheese Stand, Aachen, Market Square

Table 9: Transcript 6 - Terminal Symbols

| Transcript Excerpt | Terminal Symbol |
|---|------------------------|
| Customer 1: Good morning! | KBG |
| Seller: Good morning! | VBG |
| Customer 1: I would like five hundred grams of Dutch Gouda. | KBBd |
| Seller: In one piece? | VBBd |
| Customer 1: Yes, in one piece, please. | KAA |

Terminal Symbol String 6: KBG, VBG, KBBd, VBBd, KAA

A.7 Transcript 7 - Candy Stall

Date: June 28, 1994, **Location:** Candy Stall, Aachen, Market Square, 11:30 AM

Table 10: Transcript 7 - Terminal Symbols

| Transcript Excerpt | Terminal Symbol |
|--|------------------------|
| Customer: I would like one hundred grams of the assorted ones. | KBBd |
| Seller: For home or to take away? | VBBd |
| Customer: To take away, please. | KBA |
| Seller: Fifty pfennigs, please. | VAA |
| Customer: Thanks! | KAA |

Terminal Symbol String 7: KBBd, VBBd, KBA, VAA, KAA

A.8 Transcript 8 - Bakery

Date: July 9, 1994, **Location:** Bakery, Aachen, 12:00 PM

Table 11: Transcript 8 - Terminal Symbols

| Transcript Excerpt | Terminal Symbol |
|---------------------------|------------------------|
| Customer: Good day! | KBG |

Continued on next page

Table 11 – *Continued from previous page*

| Transcript Excerpt | Terminal Symbol |
|--|------------------------|
| Salesperson: One of our best coffee, freshly ground, please. | VBBd |
| Customer: Yes, also two pieces of fruit salad and a small bowl of cream. | KBBd |
| Salesperson: All right! | VBA |
| Salesperson: That will be fourteen marks and nineteen pfennigs, please. | VAA |
| Customer: I'll pay in small change. | KAA |
| Salesperson: Thank you very much, have a nice Sunday! | VAV |
| Customer: Thanks, you too! | KAV |

Terminal Symbol String 8: KBG, VBBd, KBBd, VBA, VAA, KAA, VAV, KAV

B Complete Python Implementation of ARS 3.0

```
1 """
2 Algorithmic Recursive Sequence Analysis 3.0
3 HIERARCHICAL GRAMMAR INDUCTION THROUGH SEQUENCE COMPRESSION
4 Explication of Latent Sequence Structures in Sales
   Conversations
5
6 Methodological Premises:
7 1. The induced grammar is an EXPLICATION, not a discovery
8 2. Nonterminals represent INTERPRETIVE CATEGORIES, not hidden
   structures
9 3. The process is TRANSPARENT and INTERSUBJECTIVELY TRACEABLE
10 """
11
12 import numpy as np
13 from scipy.stats import pearsonr
14 import matplotlib.pyplot as plt
15 from tabulate import tabulate
16 from collections import Counter, defaultdict
17 import itertools
18
19 #
20 # =====
21 # 1. EMPIRICAL DATA: Terminal symbol strings from eight
   transcripts
22 #
23 # =====
24
25 empirical_chains = [
26     # Transcript 1: Butcher Shop
27     ['KBG', 'VBG', 'KBBd', 'VBBd', 'KBA', 'VBA', 'KBBd', '
28     VBBd', 'KBA', 'VAA', 'KAA', 'VAV', 'KAV'],
29     # Transcript 2: Market Square (Cherries)
30     ['VBG', 'KBBd', 'VBBd', 'VAA', 'KAA', 'VBG', 'KBBd', 'VAA
31     ', 'KAA'],
32     # Transcript 3: Fish Stall
33     ['KBBd', 'VBBd', 'VAA', 'KAA'],
```

```

30 # Transcript 4: Vegetable Stall (detailed)
31 ['KBBd', 'VBBd', 'KBA', 'VBA', 'KBBd', 'VBA', 'KAE', 'VAE',
   ', 'KAA', 'VAV', 'KAV'],
32 # Transcript 5: Vegetable Stall (with KAV at beginning)
33 ['KAV', 'KBBd', 'VBBd', 'KBBd', 'VAA', 'KAV'],
34 # Transcript 6: Cheese Stand
35 ['KBG', 'VBG', 'KBBd', 'VBBd', 'KAA'],
36 # Transcript 7: Candy Stall
37 ['KBBd', 'VBBd', 'KBA', 'VAA', 'KAA'],
38 # Transcript 8: Bakery
39 ['KBG', 'VBBd', 'KBBd', 'VBA', 'VAA', 'KAA', 'VAV', 'KAV'
   ]
40 ]
41
42 #
=====
43 # 2. METHODOLOGICAL REFLECTION LAYER
44 #
=====
45
46 class MethodologicalReflection:
47     """
48     Documents the interpretive decisions in the induction
49     process.
50     Enables intersubjective traceability according to XAI
51     criteria.
52     """
53     def __init__(self):
54         self.interpretation_log = []
55         self.sequence_meaning_mapping = {}
56         self.compression_rationale = {}
57     def log_interpretation(self, sequence, new_nonterminal,
58                           rationale):
59         """Documents an interpretation decision"""
60         self.interpretation_log.append({
            'sequence': sequence,

```

```

61         'new_nonterminal': new_nonterminal,
62         'rationale': rationale,
63         'timestamp': len(self.interpretation_log)
64     })
65
66     # Explicate the meaning of the sequence
67     if all(isinstance(s, str) and (s.startswith(('K', 'V'
68         ))) for s in sequence):
69         actions = [self._interpret_symbol(s) for s in
70             sequence if isinstance(s, str)]
71         self.sequence_meaning_mapping[tuple(sequence)] =
72             {
73                 'meaning': ' '.join(actions),
74                 'type': self._classify_sequence(sequence)
75             }
76
77     def _interpret_symbol(self, symbol):
78         """Returns the qualitative meaning of a terminal
79         symbol"""
80         meanings = {
81             'KBG': 'Customer greeting',
82             'VBG': 'Salesperson greeting',
83             'KBBd': 'Customer need (concrete)',
84             'VBBd': 'Salesperson inquiry',
85             'KBA': 'Customer response',
86             'VBA': 'Salesperson reaction',
87             'KAE': 'Customer inquiry',
88             'VAE': 'Salesperson information',
89             'KAA': 'Customer completion',
90             'VAA': 'Salesperson completion',
91             'KAV': 'Customer farewell',
92             'VAV': 'Salesperson farewell'
93         }
94         return meanings.get(symbol, str(symbol))
95
96     def _classify_sequence(self, sequence):
97         """Classifies the type of interaction sequence"""
98         seq_str = ' '.join([str(s) for s in sequence])
99         if 'KBBd' in seq_str and 'VBBd' in seq_str:
100             return 'Need clarification'

```

```

197     elif 'KAE' in seq_str or 'VAE' in seq_str:
198         return 'Information exchange'
199     elif 'KAA' in seq_str and 'VAA' in seq_str:
200         return 'Transaction completion'
201     else:
202         return 'Interaction sequence'
203
204 def print_methodological_summary(self):
205     """Prints a methodological summary"""
206     print("\n" + "=" * 70)
207     print("METHODOLOGICAL REFLECTION")
208     print("=" * 70)
209     print("\nDocumented interpretation decisions:")
210
211     for log in self.interpretation_log:
212         print(f"\n[Interpretation {log['timestamp']+1}]")
213         seq_str = ' '.join([str(s) for s in log['sequence']])
214         print(f"    Sequence: {seq_str}")
215         print(f"    Nonterminal: {log['new_nonterminal']}")
216         print(f"    Rationale: {log['rationale']}")
217
218         if tuple(log['sequence']) in self.sequence_meaning_mapping:
219             mapping = self.sequence_meaning_mapping[tuple(log['sequence'])]
220             print(f"    Meaning: {mapping['meaning']}")
221             print(f"    Sequence type: {mapping['type']}")
222
223 #
224 # =====
225 # 3. HIERARCHICAL GRAMMAR INDUCTION
226 #
227 # =====
228
229 class GrammarInducer:
230     """

```

```

129     Induces a PCFG through hierarchical compression.
130     Nonterminals are understood as EXPLICIT INTERPRETIVE
131         CATEGORIES.
132     """
133     def __init__(self):
134         self.rules = {} # Nonterminal -> List of (
135             production, probability)
136         self.rule_occurrences = {} # Count of rule
137             applications
138         self.terminals = set()
139         self.nonterminals = set()
140         self.start_symbol = None
141         self.compression_history = []
142         self.reflection = MethodologicalReflection()
143
144         # For optimization phase
145         self.terminal_frequencies = None
146         self.generated_frequencies_history = []
147
148     def find_relevant_patterns(self, chains, min_length=2,
149         max_length=4):
150         """
151         Finds relevant repeated sequences.
152         Unlike pure compression, semantic relevance is
153             prioritized here.
154         """
155         sequence_counter = Counter()
156
157         for chain in chains:
158             for length in range(min_length, min(max_length,
159                 len(chain) + 1)):
160                 for i in range(len(chain) - length + 1):
161                     seq = tuple(chain[i:i+length])
162
163                     # Evaluation criteria for semantic
164                         relevance:
165                     score = 1.0

```

```

161         # Check for speaker change (only for
           terminal symbols)
162         has_speaker_change = False
163         for j in range(len(seq)-1):
164             if (isinstance(seq[j], str) and
                 isinstance(seq[j+1], str) and
165                 ((seq[j].startswith('K') and seq[
                    j+1].startswith('V')) or
                 (seq[j].startswith('V') and seq[
                    j+1].startswith('K')))):
166                 has_speaker_change = True
167                 break
168
169
170         if has_speaker_change:
171             score *= 2.0
172
173         # Prefer patterns with closure character
174         has_closure = any(isinstance(s, str) and
                            s.endswith('A') for s in seq)
175         if has_closure:
176             score *= 1.3
177
178         sequence_counter[seq] += score
179
180     # Filter sequences with at least 2 occurrences
181     relevant = {seq: count for seq, count in
                  sequence_counter.items()
182                 if count >= 2}
183
184     if not relevant:
185         return None
186
187     # Select the most relevant sequence
188     best_seq = max(relevant.items(), key=lambda x: x[1])
189                 [0]
190     return best_seq
191
192 def generate_interpretive_name(self, sequence):
    """

```

```

193     Generates an interpretively meaningful name for the
        nonterminal.
194     """
195     # Determine the type of sequence based on terminal
        symbols
196     seq_str = ' '.join([str(s) for s in sequence])
197
198     if 'KBBd' in seq_str and 'VBBd' in seq_str:
199         typ = "NEED_CLARIFICATION"
200     elif ('VAA' in seq_str and 'KAA' in seq_str) or ('VAA
        ' in seq_str and 'KAV' in seq_str):
201         typ = "PAYMENT_PROCESS"
202     elif 'KAE' in seq_str or 'VAE' in seq_str:
203         typ = "INFORMATION_EXCHANGE"
204     elif 'KBG' in seq_str and 'VBG' in seq_str:
205         typ = "GREETING"
206     elif 'VAV' in seq_str and 'KAV' in seq_str:
207         typ = "FAREWELL"
208     else:
209         typ = "SEQUENCE"
210
211     # Create a unique name
212     if all(isinstance(s, str) and len(s) <= 4 for s in
        sequence):
213         # Only terminal symbols
214         first = sequence[0] if sequence else ""
215         last = sequence[-1] if sequence else ""
216         return f"NT_{typ}_{first}_{last}"
217     else:
218         # Contains nonterminals already
219         return f"NT_{typ}_{len(sequence)}"
220
221     def _describe_sequence(self, sequence):
222         """Generates a semantic description of the sequence
            """
223         if len(sequence) == 2:
224             if all(isinstance(s, str) and len(s) <= 4 for s
                in sequence):
225                 return f"{self.reflection._interpret_symbol(
                    sequence[0])} {self.reflection.

```

```

                _interpret_symbol(sequence[1]))"
226         else:
227             return f"{sequence[0]}      {sequence[1]}"
228     else:
229         return f"Sequence with {len(sequence)} steps"
230
231 def compress_chains(self, chains, sequence,
232                    new_nonterminal):
233     """
234     Compresses the chains by replacing the sequence.
235     """
236     compressed_chains = []
237     seq_tuple = tuple(sequence)
238     seq_len = len(sequence)
239
240     for chain in chains:
241         new_chain = []
242         i = 0
243         while i < len(chain):
244             if i <= len(chain) - seq_len and tuple(chain[
245                 i:i+seq_len]) == seq_tuple:
246                 new_chain.append(new_nonterminal)
247                 i += seq_len
248             else:
249                 new_chain.append(chain[i])
250                 i += 1
251         compressed_chains.append(new_chain)
252
253     return compressed_chains
254
255 def induce_grammar(self, chains, max_iterations=15):
256     """
257     Main method for grammar induction.
258     """
259     current_chains = [list(chain) for chain in chains]
260     iteration = 0
261
262     print("\n" + "=" * 70)
263     print("HIERARCHICAL GRAMMAR INDUCTION")
264     print("=" * 70)

```

```

263     print("\nThe induction process is understood as
          EXPLICATION:")
264     print("- Each new nonterminal represents an
          INTERPRETIVE CATEGORY")
265     print("- The naming explicates the qualitative
          meaning")
266     print("- The process is intersubjectively TRACEABLE\n
          ")
267
268     while iteration < max_iterations:
269         # Find relevant patterns
270         best_seq = self.find_relevant_patterns(
                current_chains)
271
272         if best_seq is None:
273             print(f"\nNo further relevant patterns after
                    {iteration} iterations.")
274             break
275
276         # Generate interpretive name
277         new_nonterminal = self.generate_interpretive_name
                (best_seq)
278         description = self._describe_sequence(best_seq)
279
280         # Ensure uniqueness
281         base_name = new_nonterminal
282         counter = 1
283         while new_nonterminal in self.nonterminals:
284             new_nonterminal = f"{base_name}_{counter}"
285             counter += 1
286
287         # Document the interpretive decision
288         rationale = f"Recognized dialogue pattern: {
                description}"
289         self.reflection.log_interpretation(best_seq,
                new_nonterminal, rationale)
290
291         seq_str = ' '.join([str(s) for s in best_seq
                ])
292         print(f"\nIteration {iteration + 1}:")

```

```

293     print(f"   Recognized pattern: {seq_str}")
294     print(f"   Interpretation: {description}")
295     print(f"       New category: {new_nonterminal}")
296
297     # Store the rule (initially without probability)
298     self.rules[new_nonterminal] = [(list(best_seq),
299                                   1.0)] # Temporary probability
300
301     # Compress chains
302     current_chains = self.compress_chains(
303         current_chains, best_seq, new_nonterminal)
304
305     # Show example
306     example = '      '.join([str(s) for s in
307                               current_chains[0][:8]])
308     print(f"   Example (compressed): {example}...")
309
310     iteration += 1
311
312     # Check for complete compression
313     if all(len(chain) == 1 for chain in
314            current_chains):
315         symbols = set(chain[0] for chain in
316                       current_chains)
317         if len(symbols) == 1:
318             self.start_symbol = list(symbols)[0]
319             print(f"\nINDUCTION COMPLETED: Start
320                   symbol = {self.start_symbol}")
321             break
322
323     # Terminals are the original symbols
324     all_symbols = set()
325     for chain in empirical_chains:
326         all_symbols.update(chain)
327     self.terminals = all_symbols
328
329     # Calculate probabilities
330     self._calculate_probabilities()

```

```

327     return current_chains
328
329     def _calculate_probabilities(self):
330         """
331         Calculates probabilities for each production.
332         """
333         # Count how often each nonterminal occurs in the
334             original data
335         occurrence_count = defaultdict(Counter)
336
337         # For each chain in the original data
338         for chain in empirical_chains:
339             self._count_occurrences(chain, occurrence_count)
340
341         # Convert to probabilities
342         for nonterminal in self.rules:
343             if nonterminal in occurrence_count:
344                 total = sum(occurrence_count[nonterminal].
345                             values())
346                 if total > 0:
347                     productions = []
348                     for expansion, count in occurrence_count[
349                         nonterminal].items():
350                         prob = count / total
351                         # Ensure expansion is a list
352                         if isinstance(expansion, tuple):
353                             expansion = list(expansion)
354                         productions.append((expansion, prob))
355
356                 # Sort by probability
357                 productions.sort(key=lambda x: x[1],
358                                 reverse=True)
359                 self.rules[nonterminal] = productions
360
361     def _count_occurrences(self, sequence, occurrence_count):
362         """
363         Recursive helper function for counting occurrences.
364         """
365         i = 0
366         while i < len(sequence):

```

```

363     symbol = sequence[i]
364
365     # If the symbol is a nonterminal
366     if symbol in self.rules:
367         # Find the matching expansion
368         for expansion, _ in self.rules[symbol]:
369             if isinstance(expansion, list):
370                 exp_len = len(expansion)
371                 if i + exp_len <= len(sequence) and
                    sequence[i:i+exp_len] == expansion
                    :
372                     # Count this occurrence
373                     occurrence_count[symbol][tuple(
                        expansion)] += 1
374                     # Continue counting recursively
                        in the expansion
375                     self._count_occurrences(expansion
                        , occurrence_count)
376                     i += exp_len
377                     break
378                 elif i + 1 <= len(sequence) and [
                    sequence[i]] == expansion:
379                     # Single element
380                     occurrence_count[symbol][tuple(
                        expansion)] += 1
381                     i += 1
382                     break
383             else:
384                 i += 1
385     else:
386         i += 1
387
388 #
=====
389 # 4. GENERATION WITH INTERPRETIVE FEEDBACK
390 #
=====
391

```

```

392 class InterpretiveGenerator:
393     """
394     Generates chains and documents their interpretive meaning
395     .
396     """
397     def __init__(self, grammar, terminals, start_symbol,
398                 reflection):
399         self.grammar = grammar
400         self.terminals = terminals
401         self.start_symbol = start_symbol
402         self.reflection = reflection
403
404         # Create production probabilities
405         self.production_probs = {}
406         for nt, prods in grammar.items():
407             if prods and len(prods) > 0:
408                 symbols = []
409                 probs = []
410                 for prod, prob in prods:
411                     if isinstance(prob, (int, float)):
412                         symbols.append(prod)
413                         probs.append(float(prob))
414
415                 if symbols and probs:
416                     # Normalize if necessary
417                     total = sum(probs)
418                     if total > 0 and abs(total - 1.0) >
419                        0.001:
420                         probs = [p/total for p in probs]
421                 self.production_probs[nt] = (symbols,
422                                             probs)
423
424     def generate_with_interpretation(self, max_depth=15):
425         """
426         Generates a chain and documents the interpretation.
427         """
428         if not self.start_symbol:
429             return [], []

```

```

428     interpretation = []
429
430     def expand(symbol, depth=0):
431         if depth >= max_depth:
432             return [str(symbol)]
433
434         if symbol in self.terminals:
435             interpretation.append(self.reflection.
436                                 _interpret_symbol(symbol))
437             return [str(symbol)]
438
439         if symbol not in self.production_probs:
440             return [str(symbol)]
441
442         symbols, probs = self.production_probs[symbol]
443         if not symbols:
444             return [str(symbol)]
445
446         try:
447             chosen_idx = np.random.choice(len(symbols), p
448                                         =probs)
449             chosen = symbols[chosen_idx]
450         except:
451             # Fallback in case of errors
452             chosen = symbols[0]
453
454         # Document the expansion
455         seq_str = ' '.join([str(s) for s in chosen])
456         interpretation.append(f"[Expansion of {symbol}: {
457                               seq_str}]")
458
459         result = []
460         for sym in chosen:
461             result.extend(expand(sym, depth + 1))
462         return result
463
464     chain = expand(self.start_symbol)
465     return chain, interpretation

```

```

464 #
=====
465 # 5. VALIDATION IN THE CONTEXT OF XAI CRITERIA
466 #
=====
467
468 class XAIValidator:
469     """
470     Validates the induced grammar according to the XAI
471     criteria:
472     - Meaningfulness
473     - Accuracy
474     - Knowledge Limits
475     """
476     def __init__(self, grammar_inducer):
477         self.inducer = grammar_inducer
478         self.original_freq = self.
479             _compute_empirical_frequencies()
480     def _compute_empirical_frequencies(self):
481         """Calculates the empirical frequencies of terminals
482         """
483         all_terminals = []
484         for chain in empirical_chains:
485             all_terminals.extend(chain)
486
487         freq = Counter(all_terminals)
488         total = len(all_terminals)
489         return {sym: count/total for sym, count in freq.items
490             ()}
491
492     def evaluate_meaningfulness(self):
493         """
494         Evaluates the meaningfulness of the grammar.
495         """
496         print("\n" + "=" * 70)
497         print("VALIDATION: MEANINGFULNESS (XAI Criterion 1)")

```

```

496     print("=" * 70)
497
498     # Check if all nonterminals have interpretable names
499     meaningful_count = 0
500     for nt in self.inducer.nonterminals:
501         if nt.startswith('NT_') and len(nt) > 3:
502             meaningful_count += 1
503
504     meaningful_ratio = meaningful_count / len(self.
505         inducer.nonterminals) if self.inducer.nonterminals
506         else 0
507
508     print(f"\nTotal nonterminals: {len(self.inducer.
509         nonterminals)}")
510     print(f"Interpretablely named: {meaningful_count} ({
511         meaningful_ratio:.1%})")
512
513     # Documented interpretations
514     print(f"\nDocumented interpretation decisions: {len(
515         self.inducer.reflection.interpretation_log)}")
516
517     # Example interpretations
518     if self.inducer.reflection.interpretation_log:
519         print("\nExample interpretations:")
520         for i, log in enumerate(self.inducer.reflection.
521             interpretation_log[:3]):
522             seq_str = ' '.join([str(s) for s in log['
523                 sequence']])
524             print(f"  {i+1}. {seq_str}      {log['
525                 new_nonterminal']}")
526             print(f"      Rationale: {log['rationale']}")
527
528     return meaningful_ratio
529
530 def evaluate_accuracy(self, n_generated=500):
531     """
532     Evaluates the accuracy of the grammar.
533     """
534     print("\n" + "=" * 70)
535     print("VALIDATION: ACCURACY (XAI Criterion 2)")

```

```

528     print("=" * 70)
529
530     generator = InterpretiveGenerator(
531         self.inducer.rules,
532         self.inducer.terminals,
533         self.inducer.start_symbol,
534         self.inducer.reflection
535     )
536
537     # Generate many chains
538     all_generated = []
539     for _ in range(n_generated):
540         chain, _ = generator.generate_with_interpretation
541             ()
542         all_generated.extend(chain)
543
544     # Calculate generated frequencies
545     gen_freq = Counter(all_generated)
546     total_gen = len(all_generated)
547     gen_dist = {sym: count/total_gen for sym, count in
548         gen_freq.items() if total_gen > 0}
549
550     # Correlation calculation for common symbols
551     common_symbols = sorted(set(self.original_freq.keys()
552         ) & set(gen_dist.keys()))
553     if common_symbols and len(common_symbols) > 1:
554         orig_values = [self.original_freq[sym] for sym in
555             common_symbols]
556         gen_values = [gen_dist[sym] for sym in
557             common_symbols]
558
559         correlation, p_value = pearsonr(orig_values,
560             gen_values)
561
562         print(f"\nCorrelation (r): {correlation:.4f}")
563         print(f"Significance (p): {p_value:.4f}")
564         print(f"Basis: {len(common_symbols)} common
565             symbols")
566
567     # Detailed table

```

```

561         print("\nFrequency comparison (Top 8):")
562         table_data = []
563         for sym in common_symbols[:8]:
564             table_data.append([
565                 sym,
566                 f"{self.original_freq[sym]:.4f}",
567                 f"{gen_dist[sym]:.4f}",
568                 f"{abs(self.original_freq[sym] - gen_dist
                    [sym]):.4f}"
569             ])
570
571         print(tabulate(table_data,
572                        headers=["Symbol", "Empirical", "
                    Generated", "Difference"],
573                        tablefmt="grid"))
574
575         return correlation, p_value
576     else:
577         print("Insufficient common symbols for
                    correlation calculation")
578         return 0, 1
579
580     def evaluate_knowledge_limits(self):
581         """
582         Documents the knowledge limits of the grammar.
583         """
584         print("\n" + "=" * 70)
585         print("VALIDATION: KNOWLEDGE LIMITS (XAI Criterion 3)
                    ")
586         print("=" * 70)
587
588         print("\nThe grammar is an EXPLICATION, not a
                    discovery:")
589         print("        It is based on 8 transcripts of sales
                    conversations")
590         print("        The terminal symbols were obtained
                    through qualitative interpretation")
591         print("        The nonterminals represent INTERPRETIVE
                    CATEGORIES")
592

```

```

593     print("\nLIMITS OF THE GRAMMAR:")
594     print("         No generalization beyond the dataset")
595     print("         No predictive capability for new
           contexts")
596     print("         Dependent on the initial category
           formation")
597     print("         Alternative interpretations are possible
           ")
598
599     # Document uncovered patterns
600     observed_pairs = set()
601     for chain in empirical_chains:
602         for i in range(len(chain) - 1):
603             observed_pairs.add((chain[i], chain[i+1]))
604
605     print(f"\nCOVERED PATTERNS:")
606     print(f"         Observed transitions: {len(
           observed_pairs)}")
607     print(f"         Nonterminals captured in grammar: {len(
           self.inducer.nonterminals)}")
608
609     #
           =====
610     # 6. MAIN EXECUTION
611     #
           =====
612
613     def main():
614         """
615         Main function with methodological framing.
616         """
617         print("=" * 70)
618         print("ALGORITHMIC RECURSIVE SEQUENCE ANALYSIS 3.0")
619         print("HIERARCHICAL GRAMMAR INDUCTION")
620         print("=" * 70)
621
622         # 1. Induce grammar
623         inducer = GrammarInducer()

```

```

624     compressed_chains = inducer.induce_grammar(
        empirical_chains)
625
626     # 2. Methodological reflection
627     inducer.reflection.print_methodological_summary()
628
629     # 3. Display induced grammar
630     print("\n" + "=" * 70)
631     print("INDUCED GRAMMAR")
632     print("=" * 70)
633     print(f"\nTerminals ({len(inducer.terminals)}): {sorted(
        inducer.terminals)}")
634     print(f"Nonterminals ({len(inducer.nonterminals)}): {
        sorted(inducer.nonterminals)}")
635     if inducer.start_symbol:
636         print(f"Start symbol: {inducer.start_symbol}")
637
638     print("\nPRODUCTION RULES (with probabilities):")
639     for nonterminal in sorted(inducer.rules.keys()):
640         productions = inducer.rules[nonterminal]
641         if productions:
642             prod_strings = []
643             for prod, prob in productions:
644                 # Ensure prod is a list
645                 if isinstance(prod, tuple):
646                     prod = list(prod)
647                 prod_str = ' '.join([str(s) for s in prod
        ])
648                 # Ensure prob is a float
649                 prob_float = float(prob) if not isinstance(
        prob, (int, float)) else prob
650                 prod_strings.append(f"{prod_str} [{prob_float
        :.3f}]")
651             print(f"\n{nonterminal} {' | '.join(
        prod_strings)}")
652
653     # 4. Generate examples with interpretation
654     print("\n" + "=" * 70)
655     print("EXAMPLES WITH INTERPRETATION")
656     print("=" * 70)

```

```

657
658     generator = InterpretiveGenerator(
659         inducer.rules,
660         inducer.terminals,
661         inducer.start_symbol,
662         inducer.reflection
663     )
664
665     for i in range(3):
666         chain, interpretation = generator.
667             generate_with_interpretation()
668         print(f"\nExample {i+1}:")
669         chain_str = ' '.join([str(s) for s in chain
670             [:10]])
671         print(f"  Chain: {chain_str}" + ("..." if len(chain)
672             > 10 else ""))
673         print("  Interpretation:")
674         for j, step in enumerate(interpretation[:5]):
675             print(f"    {j+1}. {step}")
676         if len(interpretation) > 5:
677             print("    ...")
678
679     # 5. XAI validation
680     validator = XAIValidator(inducer)
681     validator.evaluate_meaningfulness()
682     validator.evaluate_accuracy(n_generated=500)
683     validator.evaluate_knowledge_limits()
684
685     # 6. Export grammar
686     print("\n" + "=" * 70)
687     print("EXPORT GRAMMAR")
688     print("=" * 70)
689
690     with open("induced_grammar_with_interpretation.txt", 'w',
691         encoding='utf-8') as f:
692         f.write("# INDUCED PCFG WITH INTERPRETATION\n")
693         f.write("# =====\n\n")
694         f.write(f"## DATA BASIS\n")
695         f.write(f"{len(empirical_chains)} transcripts of
696             sales conversations\n\n")

```

```

692
693     f.write("## TERMINALS (qualitative categories)\n")
694     for sym in sorted(inducer.terminals):
695         f.write(f"{sym}: {inducer.reflection.
696             _interpret_symbol(sym)}\n")
697
698     f.write("\n## NONTERMINALS (interpretive categories)\n")
699     for log in inducer.reflection.interpretation_log:
700         seq_str = ' '.join([str(s) for s in log['
701             sequence']])
702         f.write(f"\n{log['new_nonterminal']}\n")
703         f.write(f"    Pattern: {seq_str}\n")
704         mapping = inducer.reflection.
705             sequence_meaning_mapping.get(tuple(log['
706             sequence']), {})
707         if mapping:
708             f.write(f"    Meaning: {mapping.get('meaning',
709             '')}\n")
710         f.write(f"    Rationale: {log['rationale']}\n")
711
712     f.write("\n## PRODUCTION RULES\n")
713     for nt in sorted(inducer.rules.keys()):
714         prods = inducer.rules[nt]
715         for prod, prob in prods:
716             if isinstance(prod, tuple):
717                 prod = list(prod)
718             prod_str = ' '.join([str(s) for s in prod])
719             prob_float = float(prob) if not isinstance(
720                 prob, (int, float)) else prob
721             f.write(f"{nt}    {prod_str} [{prob_float:.3
722                 f}]\n")
723
724     print(f"\nGrammar exported as '
725         induced_grammar_with_interpretation.txt'")
726
727     print("\n" + "=" * 70)
728     print("ALGORITHMIC RECURSIVE SEQUENCE ANALYSIS COMPLETED"
729         )
730     print("=" * 70)

```

```
722  
723 if __name__ == "__main__":  
724     main()
```

Listing 2: Algorithmic Recursive Sequence Analysis 3.0 - Hierarchical Grammar Induction